> Hradec Kralove May 10th 2017

Semiclassical bounds for magnetic Laplacian

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joint work with Pavel Exner, Timo Weidl and Hynek Kovarik

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Main results Example: a two- dimensional disc Application to the three-dimensional case Spectral estimates for eigenvalues from perturbed magnetic field Three dimensions: a magnetic 'hole'

Operators Bounds for the eigenvalues of the Dirichlet Laplacian Bounds for the magnetic Laplacian

Let $\Omega \subset \mathbb{R}^d$ be a bounded domain. We denote:

 $-\Delta_D^{\Omega}$ – the Dirichlet Laplacian in $L_2(\Omega)$

The spectrum of the operator $-\Delta_D^\Omega$ is purely discrete. We denote by

 $0 < \lambda_1(\Omega) \leq \lambda_2(\Omega) \leq \lambda_3(\Omega) \leq \dots$

the ordered sequence of its eigenvalues (counting multiplicities).

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Operators Bounds for the eigenvalues of the Dirichlet Laplacian Bounds for the magnetic Laplacian

In the present talk we consider a magnetic version of the Dirichlet Laplacian, the operator

 $H_{\Omega}(A) = (i\nabla + A(x))^2$

associated with the closed form

$$\parallel (i\nabla + A)u \parallel^2_{L^2(\Omega)}, \quad u \in \mathcal{H}^1_0(\Omega),$$

with the real valued and sufficiently smooth vector function A. The field A is called the magnetic potential.

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The magnetic Sobolev norm

$$\| (i\nabla + A)u \|_{L^2(\Omega)}^2, \quad u \in \mathcal{H}^1_0(\Omega),$$

is equivalent to the non magnetic one ($\boldsymbol{\Omega}$ is a bounded domain), whence

 $H_{\Omega}(A)$ has a purely discrete spectrum

We shall denote the eigenvalues of $H_{\Omega}(A)$ by

 $\lambda_k = \lambda_k(\Omega, A)$

assuming that they repeat according to their multiplicities.

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Operators

Bounds for the eigenvalues of the Dirichlet Laplacian Bounds for the magnetic Laplacian

The object of our interest in this talk are bounds of the eigenvalue moments of such operators.

At first we mention some known results for the Dirichlet Laplacian.

In what follows by $(\cdot)_+$ we denote the positive part of the quantity staying in the brackets, namely

$$(f)_+=egin{cases} f,&f\geq 0,\ 0,&f< 0. \end{cases}$$

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Main results Example: a two- dimensional disc Application to the three-dimensional case Spectral estimates for eigenvalues from perturbed magnetic field Three dimensions: a magnetic 'hole'

Weyl asymptotics

 $\sum_{k} (\Lambda - \lambda_{k}(\Omega))_{+}^{\sigma} = L^{\mathrm{cl}}_{\sigma,d} |\Omega| \Lambda^{\sigma + \frac{d}{2}} + o(\Lambda^{\sigma + \frac{d}{2}}), \quad \sigma \geq 0, \quad \Lambda \to \infty,$

where $|\Omega|$ is the volume of Ω and

$$L^{\mathrm{cl}}_{\sigma,d} = rac{\Gamma(\sigma+1)}{(4\pi)^{rac{d}{2}}\Gamma(\sigma+1+d/2)}.$$

Berezin bound

 $\sum_k (\Lambda - \lambda_k(\Omega))^{\sigma}_+ \leq L^{\mathrm{cl}}_{\sigma,d} \left| \Omega \right| \Lambda^{\sigma + \frac{d}{2}} \quad \text{for any } \sigma \geq 1 \ \text{and} \ \Lambda > 0,$

where $L_{\sigma,d}^{cl}$ is defined by (*).

The constant $L_{\sigma,d}^{cl}$ is optimal.

Bounds for the eigenvalues of the Dirichlet Laplacian

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Bounds for the eigenvalues of the Dirichlet Laplacian

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Operators Bounds for the eigenvalues of the Dirichlet Laplacian Bounds for the magnetic Laplacian

Remark 1

A. Laptev proved that Berezin bound

$$\sum_k (\Lambda - \lambda_k(\Omega))^{\sigma}_+ \leq L^{\mathrm{cl}}_{\sigma,d} \left| \Omega \right| \Lambda^{\sigma + rac{d}{2}}, \quad \Lambda > 0$$

holds true for $0 \le \sigma < 1$ as well, but with another, probably non-sharp constant on the right-hand side.

Namely, one has for 0 $\leq \sigma < 1$

$$\sum_k (\Lambda - \lambda_k(\Omega))^\sigma_+ \leq 2 \left(rac{\sigma}{\sigma+1}
ight)^\sigma L^{\mathrm{cl}}_{\sigma, d} \left|\Omega
ight| \Lambda^{\sigma+rac{d}{2}}, \quad \Lambda > 0.$$

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Remark 2

In particular case $\sigma = 1$ Berezin bound has the form

$$\sum_k (\Lambda - \lambda_k(\Omega))_+ \leq L^{\mathrm{cl}}_{1,d} \left| \Omega \right| \Lambda^{1+rac{d}{2}}, \quad \Lambda > 0,$$

and is equivalent to the lower bound

$$\sum_{j=1}^N \lambda_j(\Omega) \geq C_d |\Omega|^{-rac{2}{d}} \mathcal{N}^{1+rac{2}{d}}, \quad C_d = rac{4\pi d}{d+2} \mathsf{\Gamma}(d/2+1)^{rac{2}{d}}.$$

This estimate is called Li-Yau inequality.

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$H_{\Omega}(A) = (i \nabla + A(x))^2$ – magnetic Laplacian

In view of the pointwise diamagnetic inequality

 $|
abla |u(x)|| \leq |(i
abla + A)u(x)|$ for a.a. $x\in \Omega$

one has

$\lambda_1(\Omega, A) \geq \lambda_1(\Omega, 0).$

However for $j \ge 2$ the estimate $\lambda_j(\Omega, A) \ge \lambda_j(\Omega, 0)$ fails in general.

Nevertheless, momentum estimates are still valid for some values of the parameters.

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Laptev-Weidl, 2000

The sharp bound

$$\sum_{k} (\Lambda - \lambda_{k}(\Omega, A))^{\sigma}_{+} \leq L^{\mathrm{cl}}_{\sigma, d} \left| \Omega \right| \Lambda^{\sigma + \frac{d}{2}} \quad \text{for any } \Lambda > 0$$

holds true for arbitrary magnetic fields provided $\sigma \geq \frac{3}{2}$, and the same sharp bound holds true for constant magnetic fields if $\sigma \geq 1$.

Frank–Loss–Weidl, 2009

In the dimension d = 2 the bound

$$\sum_{k} (\Lambda - \lambda_{k}(\Omega, A))_{+}^{\sigma} \leq 2 \left(\frac{\sigma}{\sigma + 1}\right)^{\sigma} L_{\sigma, d}^{cl} \left|\Omega\right| \Lambda^{\sigma + \frac{d}{2}}$$

holds true for constant magnetic fields if $0 \le \sigma < 1$, moreover the constant on its right-hand side cannot be improved.

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holds true for constant magnetic fields if $0 \le \sigma < 1$, moreover the constant on its right-hand side cannot be improved.

Preliminaries

Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Our first aim in this work is to derive a two-dimensional version of the Li–Yau inequality in presence of a constant magnetic field giving rise to an extra term on the right-hand side.

Note, that in two-dimensional case Li-Yau inequality has the form

$$\sum_{j=1}^{N}\lambda_j(\Omega,0)\geq rac{2\pi N^2}{|\Omega|}.$$

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Preliminaries

Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Suppose that the motion is confined to a domain $\omega \subset \mathbb{R}^2$ being exposed to influence of a constant magnetic field of intensity *B*, and let $A : \mathbb{R}^2 \to \mathbb{R}^2$ be a vector potential generating this field.

We denote by

$$H_{\omega}(A) = (i\nabla + A(x))^2$$

the corresponding magnetic Dirichlet Laplacian on ω and by

 $0 < \lambda_1(\omega, A) \leq \lambda_2(\omega, A) \leq \lambda_3(\omega, A) \leq \dots$

the sequence of its eigenvalues arranged in the ascending order with account of their multiplicity.

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Preliminaries

Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Theorem [Weidl–Kovarik, 2013]

Let $\omega \subset \mathbb{R}^2$ be bounded and convex. Then for any $N \in \mathbb{N}$ it holds $\sum_{j=1}^N \lambda_j(\omega, A) \ge \frac{2\pi N^2}{|\omega|} + \frac{1}{64} \frac{\sigma^2(\omega)}{|\omega|^2},$

where ...

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Preliminaries

Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

...where

Notations

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- $\delta(x) := \min_{y \in \partial \omega} |x y|$ the distance from x to the boundary of ω
- for $\beta > 0$ we set $\omega_{\beta} := \{x \in \omega : \ \delta(x) < \beta\},\$

$$\sigma(\omega) := \inf_{0 < \beta < R(\omega)} \frac{|\omega_{\beta}|}{\beta},$$

where $R(\omega) := \sup_{x \in \omega} \delta(x)$ is the in-radius of ω .

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Preliminaries Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Our first aim is to extend the inequality

$$\sum_{j=1}^{N} \lambda_j(\omega, A) \geq \frac{2\pi N^2}{|\omega|} + \frac{1}{64} \frac{\sigma^2(\omega)}{|\omega|^2}.$$

obtained by Weidl and Kovarik, but with an additional term on the right-hand side depending on B only and independent of the geometry of ω .

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Preliminaries Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Theorem 1 [B-Exner-Kovarik-Weidl, 2016]

Assume that $\omega \subset \mathbb{R}^2$ is a bounded domain. Then the inequality

$$\sum_{j=1}^{N} \lambda_j(\omega, A) \ge \frac{2\pi N^2}{|\omega|} + \frac{B^2}{2\pi} |\omega| m(1-m)$$
holds, where $m := \left\{\frac{2\pi N}{B|\omega|}\right\}$ is the fractional part of $\frac{2\pi N}{B|\omega|}$.

Since $0 \le m < 1$ by definition the last term can regarded as a non-negative remainder term.

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Preliminaries Li-Yau-type inequality in case of a constant magnetic field Berezin-type inequality in case of a constant magnetic field

Theorem 2 [B–Exner–Kovarik–Weidl, 2016]

Let
$$\omega \subset \mathbb{R}^2$$
 be a bounded domain, and $\Lambda > B$. Then

$$\sum_{j=1}^{N} (\Lambda - \lambda_j(\omega, A))_+ \leq \frac{(\Lambda^2 - B^2)|\omega|}{8\pi} + \frac{(\Lambda - B)B|\omega|}{4\pi} \left\{ \frac{\Lambda + B}{2B} \right\}.$$

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Example 2. Radial magnetic field

Spectral analysis simplifies if the domain ω allows for a separation of variables.

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Example 2. Radial magnetic field

If the magnetic field is non-constant but still radially symmetric, in general one cannot find the eigenvalues explicitly but it possible to find a bound to the eigenvalue moments in terms of an appropriate radial two-dimensional Schrödinger operator.

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Example 2. Radial magnetic field

Theorem 4 [B–Exner–Kovarik–Weidl, 2016]

Let $H_{\omega}(A)$ be the magnetic Dirichlet Laplacian $H_{\omega}(A)$ on a disc ω of radius $r_0 > 0$ centered at the origin with a radial magnetic field B(x) = B(|x|). Assume that

$$\alpha := \int_0^{r_0} sB(s) \,\mathrm{d}s < \frac{1}{2} \,.$$

Then for any $\Lambda,\,\sigma\geq$ 0, the following inequality holds true

$$\operatorname{tr}(\Lambda - H_{\omega}(A))_{+}^{\sigma} \leq \left(\frac{1}{\sqrt{1 - 2\alpha}} + \sup_{n \in \mathbb{N}} \left\{\frac{n}{\sqrt{1 - 2\alpha}}\right\}\right) \\ \times \operatorname{tr}\left(\Lambda - \left(-\Delta_{D}^{\omega} + \frac{1}{x^{2} + y^{2}}\left(\int_{0}^{\sqrt{x^{2} + y^{2}}} sB(s) \,\mathrm{d}s\right)^{2}\right)\right)_{+}^{\sigma}.$$

Example 2. Radial magnetic field

Remark

$$\inf \sigma(H_{\omega}(A)) \geq \inf \sigma\left(-\Delta_D^{\omega} + rac{1}{x^2 + y^2}\left(\int_0^{\sqrt{x^2 + y^2}} sB(s) \,\mathrm{d}s
ight)^2
ight)\,.$$

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

Let $-\Delta_{\Omega}$ be the Dirichlet Laplacian on a domain $\Omega \subset \mathbb{R}^3$.

Theorem [Laptev–Weidl, 2000]

For any $\sigma \geq \frac{3}{2}$ one has the inequality

$$\operatorname{tr} \left(\Lambda - (-\Delta_{\Omega}) \right)_{+}^{\sigma} \leq L_{1,\sigma}^{\operatorname{cl}} \int_{\mathbb{R}} \operatorname{tr} \left(\Lambda - (-\Delta_{\omega(x_{3})}) \right)_{+}^{\sigma + \frac{1}{2}} \, \mathrm{d}x_{3},$$

where $-\Delta_{\omega(x_3)}$ is the Dirichlet Laplacian on the section

$$\omega(x_3) = \big\{ x' = (x_1, x_2) \in \mathbb{R}^2 | \ x = (x', x_3) = (x_1, x_2, x_3) \in \Omega \big\},\$$

Remark: The integral at the right-hand side in fact restricted to those x_3 for which inf spec $(-\Delta_{\omega(x_3)}) < \Lambda$.

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

A similar technique can be used also in the magnetic case.

$$A = (A_1, A_2, A_3) : \Omega \to \mathbb{R}^3$$

 $\mathcal{H}_{\Omega}(A) = (i \nabla - A(x))^2 \quad \text{on} \quad L^2(\Omega)$

For the fixed x₃ $\widetilde{A}(x) := (A_1(x), A_2(x)).$ $\widetilde{H}_{\omega(x_3)}(\widetilde{A}) = (i\nabla_{(x_1, x_2)} - \widetilde{A}(x))^2 \text{ on } L^2(\omega(x_3)),$

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

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$$\label{eq:linear_line$$

$$\operatorname{tr}(\Lambda - \mathcal{H}_{\Omega}(A))_{+}^{\sigma} \leq L_{1,\sigma}^{\operatorname{cl}} \int_{\mathbb{R}} \operatorname{tr}(\Lambda - \widetilde{H}_{\omega(x_{3})}(\widetilde{A}))_{+}^{\sigma+1/2} \, \mathrm{d}x_{3}$$

Note that for the **fixed** x_3 the two-dimensional vector potential $\widetilde{A}(x_1, x_2, x_3)$ corresponds to the magnetic field

$$\frac{\partial A_2}{\partial x_1} - \frac{\partial A_1}{\partial x_2} = B_3(x).$$

The class of fields to consider here are those of the form

 $B(x) = (B_1(x), B_2(x), B_3(x_3)).$

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

Theorem [B.-Exner-Kovarik-Weidl 2016]

$$\begin{aligned} \operatorname{tr}(\Lambda - \mathcal{H}_{\Omega}(A))_{+}^{\sigma} &\leq \frac{\Gamma(\sigma + 3/2)\Lambda^{\sigma - 1/2}}{4\pi(2\sigma - 1)\Gamma(\sigma - 1/2)} L_{1,\sigma}^{\mathrm{cl}} \int_{\{x_{3}: B_{3}(x_{3}) < \Lambda\}} |\omega(x_{3})| \\ &\times \left[\left(\Lambda^{2} - B_{3}(x_{3})^{2}\right) + 2B_{3}\left(\Lambda - B_{3}(x_{3})\right) \left\{ \frac{\Lambda + B_{3}}{2B_{3}} \right\} \right] \mathrm{d}x_{3} \end{aligned}$$

for any $\sigma \geq 3/2$.

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

Example (radial magnetic field)

Consider the same cusp-shaped region Ω in the more general situation when the third field component can depend on the radial variable, $B(x) = (B_1(x), B_2(x), B_3(x_1^2 + x_2^2, x_3))$, assuming that

$$\sup_{x_3\in\mathbb{R}}\alpha(x_3)=\sup_{x_3\in\mathbb{R}}\int_0^{r_0(x_3)}sB_3(s,x_3)\,\mathrm{d} s<\frac{1}{2}\,.$$

Then...

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Laptev–Weidl estimate for 3D Dirichlet Laplacian Estimates for 3D magnetic Laplcacian

Then

$$\operatorname{tr}(\Lambda - \mathcal{H}_{\Omega}(A))_{+}^{\sigma} \leq L_{1,\sigma}^{\operatorname{cl}} \int_{\mathbb{R}} \left(\frac{1}{\sqrt{1 - 2\alpha(x_{3})}} + \sup_{n \in \mathbb{N}} \left\{ \frac{n}{\sqrt{1 - 2\alpha(x_{3})}} \right\} \right)$$

$$\times \operatorname{tr} \left(\Lambda - \left(-\Delta_{D}^{\omega(x_{3})} + \frac{1}{x_{1}^{2} + x_{2}^{2}} \left(\int_{0}^{\sqrt{x_{1}^{2} + x_{2}^{2}}} sB_{3}(s, x_{3}) \, \mathrm{d}s \right)^{2} \right) \right)_{+}^{\sigma + 1/2}$$
For any $\sigma \geq 3/2$.

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Now we change the topic and consider situations when the discrete spectrum comes from the magnetic field alone. We are going to demonstrate a Berezin-type estimate for the magnetic Laplacian on \mathbb{R}^2 with the field which is a radial and local perturbation of a homogeneous one.

 $H(B) = -\partial_x^2 + (i\partial_y + A_2)^2, \quad A = (0, B_0 x - f(x, y)), \text{ on } L^2(\mathbb{R}^2)$

$$f(x,y) = -\int_x^\infty g(\sqrt{t^2+y^2})\,\mathrm{d}t\,.$$

with $g: \mathbb{R}_+ \to \mathbb{R}_+$.

The operator H(B) is then associated with the magnetic field

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In the following we will suppose that

(i) the function $g \in L^{\infty}(\mathbb{R}_+)$ is non-negative and such that both f and $\partial_{x_2} f$ belong to $L^{\infty}(\mathbb{R}^2)$, and

$$\lim_{x_1^2+x_2^2\to\infty} (|\partial_{x_2}f(x_1,x_2)|+|f(x_1,x_2)|) = 0.$$

(ii) $\|g\|_{\infty} \leq B_0$.

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Let us turn back to the unperturbed case

 $H(B_0) = -\partial_x^2 + (i\partial_y + B_0 x)^2.$

Then the corresponding spectrum consists of identically spaced eigenvalues of infinite multiplicity,

 $\sigma(H(B_0)) = \{(2n-1)B_0, n \in \mathbb{N}\}.$

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$$A_0 = (0, a_0(r)), \qquad A = (0, a(r)),$$

with
$$a_0(r) = \frac{B_0 r}{2}, \qquad a(r) = \frac{B_0 r}{2} - \frac{1}{r} \int_0^r g(s) s \, \mathrm{d}s.$$

Finally let us denote by

$$\alpha = \int_0^\infty g(r) \, r \, \mathrm{d}r.$$

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Theorem 4 [B–Exner–Kovarik–Weidl, 2016]

Let the assumptions (i) and (ii) be satisfied, and suppose moreover that $\alpha \leq$ 1. Then

 $\inf \sigma_{\mathrm{ess}}(H(B)) = B_0.$

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Theorem 4 [B-Exner-Kovarik-Weidl, 2016]

The inequality holds true

$$\mathrm{tr}(H(B)-B_0)_-^\gamma \ \leq 2^\gamma \sum_{k=0}^\infty \ \Lambda_k^\gamma\,, \qquad \gamma \geq 0\,,$$

For every $k \in \mathbb{N}_0$

$$V_k(r) := rac{2k}{r}(a_0(r) - a(r)) + a^2(r) - a_0^2(r),$$

$$\psi_k(r) = \sqrt{\frac{B_0}{\Gamma(k+1)}} \left(\frac{B_0}{2}\right)^{k/2} r^k \exp\left(-\frac{B_0 r^2}{4}\right).$$
$$\Lambda_k = \left(\psi_k, \left(V_k(\cdot)\right)_- \psi_k\right)_{L^2(\mathbb{R}_+, rdr)}.$$

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

Let us return to the three-dimensional situation and consider a magnetic Hamiltonian $\mathcal{H}(B)$ in $L^2(\mathbb{R}^3)$ associated to the magnetic field $B: \mathbb{R}^3 \to \mathbb{R}^3$ regarded as a perturbation of a homogeneous magnetic field of intensity $B_0 > 0$ pointing in the x_3 -direction,

$$B(x_1, x_2, x_3) = (0, 0, B_0) - b(x_1, x_2, x_3),$$

with the perturbation b of the form

$$b(x_1, x_2, x_3) = \left(-\omega'(x_3) f(x_1, x_2), 0, \omega(x_3) g\left(\sqrt{x_1^2 + x_2^2}\right)\right).$$

Here $\omega:\mathbb{R}\to\mathbb{R}_+$, $g:\mathbb{R}_+\to\mathbb{R}_+$ and

$$f(x_1,x_2) = -\int_{x_1}^{\infty} g\left(\sqrt{t^2+x_2^2}\right) \,\mathrm{d}t\,.$$

Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

The first component of B then ensures that $\nabla \cdot B = 0$, which is required by the Maxwell equations which include no magnetic monopoles; it vanishes if the field is x_3 -independent.

A vector potential generating this field can be chosen in the form

$$A(x_1, x_2, x_3) = (0, B_0 x_1 - \omega(x_3) f(x_1, x_2), 0),$$

and

$$\mathcal{H}(B) = -\partial_{x_1}^2 + (i\partial_{x_2} + B_0 x_1 - \omega(x_3) f(x_1, x_2))^2 - \partial_{x_3}^2$$

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

In the following we suppose that (i) the function $g \in L^{\infty}(\mathbb{R}_{+})$ is non-negative, such that f and $\partial_{x_{2}}f$ belong to $L^{\infty}(\mathbb{R}^{2})$, and $\lim_{x_{1}^{2}+x_{2}^{2}\to\infty} (|\partial_{x_{2}}f(x_{1},x_{2})| + |f(x_{1},x_{2})|) = 0,$ (ii) $\omega \geq 0$, $\omega \in L^{2}(\mathbb{R}) \cap L^{\infty}(\mathbb{R})$, and $\|\omega\|_{\infty} \|g\|_{\infty} \leq B_{0}, \qquad \lim_{|x_{3}|\to\infty} \omega(x_{3}) = 0.$

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

Theorem 4 [B-Exner-Kovarik-Weidl, 2016]

The assumptions (i) and (ii) imply $\sigma_{ess}(\mathcal{H}(B)) = [B_0, \infty)$.

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

Now we are going to formulate Lieb-Thirring-type inequalities for the negative eigenvalues of $\mathcal{H}(B) - B_0$. We denote by

$$\alpha(x_3) = \omega(x_3) \int_0^\infty g(r) \, r \, \mathrm{d}r.$$

Theorem 4 [B–Exner–Kovarik–Weidl, 2016]

Let assumptions (i) and (ii) be satisfied. Suppose, moreover, that $\sup_{x_3}\alpha(x_3)\leq 1$ and put

$$\Lambda_k(x_3) = \left(\psi_k, \left(V_k(\cdot; x_3)\right)_- \psi_k\right)_{L^2(\mathbb{R}_+, r \mathrm{d}r)}.$$

Then the inequality holds true

$$\operatorname{tr} \left(\mathcal{H}(B) - B_0\right)_{-}^{\sigma} \leq L_{\sigma,1}^{\operatorname{cl}} \; 2^{\,\sigma + \frac{1}{2}} \int_{\mathbb{R}} \sum_{k=0}^{\infty} \; \Lambda_k(x_3)^{\sigma + \frac{1}{2}} \operatorname{d} x_3 \,, \quad \sigma \geq \frac{3}{2} \,.$$

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

Let D be a circle of radius R centered at the origin and put

$$g(r) = \begin{cases} B_0 & r \le R \\ 0 & r > R \end{cases}$$

Theorem 4 [B–Exner–Kovarik–Weidl, 2016]

Assume that $B_0 R^2 \le 2$. Suppose moreover that $\|\omega\|_{\infty} \le 1$. Then for any $\sigma > 3/2$ it holds

$$\operatorname{tr} \left(\mathcal{H}(B) - B_0 \right)_{-}^{\sigma} \leq L_{\sigma, 1}^{\operatorname{cl}} J \Big(B_0 \,, \sigma \Big) \, B_0^{\sigma + \frac{1}{2}} \int_{\mathbb{R}} \omega(x_3)^{\sigma + \frac{1}{2}} \, \mathrm{d} x_3 \,,$$

where

$$J(B_0,\sigma) = \left(B_0 R^2\right)^{\sigma + \frac{1}{2}} \left(1 + \sum_{k=1}^{\infty} \left(\left(\frac{B_0 R^2}{2}\right)^{k+1} \frac{1}{k!} + \frac{1}{2\sqrt{2\pi k}}\right)^{\sigma + \frac{1}{2}}\right)$$

Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

References

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Thank you for your attention!

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Lieb-Thirring-type inequalities for $\mathcal{H}(B)$ Perturbations with a compact support Sketch of the proof of Theorem 2

Let us give a sketch of the proof of the Berezin-type inequality:

$$\sum_{j=1}^N (\Lambda-\lambda_j(A))_+ \leq rac{(\Lambda^2-B^2)|\omega|}{8\pi} + rac{(\Lambda-B)B|\omega|}{4\pi} \left\{rac{\Lambda+B}{2B}
ight\}.$$

One has (Theorem 1) the Li-Yau-type inequality

$$\sum_{j \le N} \lambda_j(A) \ge \frac{2\pi N^2}{|\omega|} + \frac{B^2}{2\pi} |\omega| m(1-m).$$

Recall that $m := \left\{ \frac{2\pi N}{B|\omega|} \right\}$. Subtracting NA from its both sides, we get
$$\sum_{j=1}^{N} (\Lambda - \lambda_j(A)) \le f(N),$$

where $f : \mathbb{R}_+ \to \mathbb{R}$,

$$f(z) := z\Lambda - \frac{2\pi z^2}{|\omega|} - \frac{B^2 |\omega|}{2\pi} \left\{ \frac{2\pi z}{B|\omega|} \right\} \left(1 - \left\{ \frac{2\pi z}{B|\omega|} \right\} \right).$$

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We are going to investigate the function f(z) on the intervals

$$\frac{B|\omega|k}{2\pi} \leq z < \frac{B|\omega|(k+1)}{2\pi}, \quad k = 0, 1, 2, \dots$$

It is easy to check that $f'(z) = \Lambda - \frac{4\pi}{|\omega|}z - B + 2B\left\{\frac{2\pi z}{B|\omega|}\right\}$, thus the extremum of f is achieved at the point z_0 satisfying

$$\Lambda - B - \frac{4\pi}{|\omega|} z_0 + 2B \left\{ \frac{2\pi z_0}{B|\omega|} \right\} = 0.$$

Denoting $x_0 := \frac{2\pi z_0}{B|\omega|}$, the condition reads

$$\Lambda - 2Bx_0 - B + 2B\{x_0\} = 0$$

giving

$$x_0 = \frac{\Lambda - B + 2B\{x_0\}}{2B}.$$

It yields the value of function f at z_0

$$f(z_0)=\frac{|\omega|(\Lambda^2-B^2)}{8\pi}.$$

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Furthermore, the values of f at the endpoints $\frac{Bk|\omega|}{2\pi}$, $k \in \mathbb{N}$ equal

$$f\left(rac{Bk|\omega|}{2\pi}
ight)=rac{Bk|\omega|}{2\pi}(\Lambda-kB)\leq$$

$$\leq \frac{(\Lambda^2 - B^2)|\omega|}{8\pi} + \frac{(\Lambda - B)B|\omega|}{4\pi} \left\{ \frac{\Lambda + B}{2B} \right\}$$

Recall, that in the extremum point z_0 we have

$$f(z_0)=\frac{|\omega|(\Lambda^2-B^2)}{8\pi}.$$

Hence

$$f(z) \leq rac{(\Lambda^2-B^2)|\omega|}{8\pi} + rac{(\Lambda-B)B|\omega|}{4\pi} \left\{ rac{\Lambda+B}{2B}
ight\}, \; orall z \geq 0.$$

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Furthermore, the values of f at the endpoints $\frac{Bk|\omega|}{2\pi}$, $k \in \mathbb{N}$ equal

$$f\left(\frac{Bk|\omega|}{2\pi}\right) = \frac{Bk|\omega|}{2\pi}(\Lambda - kB) \le$$
$$\le \frac{(\Lambda^2 - B^2)|\omega|}{8\pi} + \frac{(\Lambda - B)B|\omega|}{4\pi} \left\{\frac{\Lambda + B}{2B}\right\}.$$

Recall, that in the extremum point z_0 we have

$$f(z_0)=\frac{|\omega|(\Lambda^2-B^2)}{8\pi}.$$

Hence

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Recall, that in the extremum point z_0 we have

$$f(z_0)=\frac{|\omega|(\Lambda^2-B^2)}{8\pi}.$$

Hence

$$f(z) \leq rac{(\Lambda^2-B^2)|\omega|}{8\pi} + rac{(\Lambda-B)B|\omega|}{4\pi} \left\{rac{\Lambda+B}{2B}
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Thus, we arrive at

$$\sum_{j=1}^{N} (\Lambda - \lambda_j(A)) \leq f(N) \leq \frac{(\Lambda^2 - B^2)|\omega|}{8\pi} + \frac{(\Lambda - B)B|\omega|}{4\pi} \left\{ \frac{\Lambda + B}{2B} \right\}$$

and Theorem 2 is proved.

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